

ON ISOPERIMETRIC PROFILES OF ALGEBRAS

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ABSTRACT. Isoperimetric profile in algebras was first introduced by Gromov in [10]. We study the behavior of the isoperimetric profile under various ring theoretic constructions and its relation with the Gelfand-Kirillov dimension.

INTRODUCTION

The geometric concept of an isoperimetric profile was first introduced in algebra for groups by Vershik in [21] and Gromov in [9]. Here is the definition given by Gromov in [10], for semigroups:

Definition. Given an infinite semigroup Γ generated by a finite subset S , and given a finite subset Ω of Γ we define the *boundary* of Ω as

$$\partial_S(\Omega) := \bigcup_{s \in S} (s\Omega \setminus \Omega).$$

Then we define the *isoperimetric profile of a semigroup* Γ with respect to S as the function from \mathbb{N} onto itself given by

$$I_o(n; \Gamma, S) := \inf_{|\Omega|=n} |\partial_S(\Omega)|$$

for each $n \in \mathbb{N}$, where $|X|$ denotes the cardinality of the set X .

It's well known that the asymptotic behavior of this function is independent of the set of generators S .

For properties of the isoperimetric profile see [6, 7, 10, 18], the survey [19] and references therein.

The notion of isoperimetric profile for algebras was introduced by Gromov in [10]:

Definition. Let A be a finitely generated algebra over a field K of characteristic zero. Given two subspaces V and W of A we define the *boundary* of W with respect to V by

$$\partial_V(W) := VW / (VW \cap W).$$

If V is a generating finite dimensional subspace of A , we define the *isoperimetric profile of A with respect to V* to be the maximal function I_* such that all finite dimensional subspaces $W \subset A$ satisfy the following *isoperimetric inequality*

$$I_*(|W|; A, V) = I_*(|W|) \leq |\partial_V(W)|,$$

where $|Z|$ denotes the dimension over the base field K of the vector space Z .

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Again, the asymptotic behavior of this function does not depend on the generating subspace.

In [10] Gromov studied in particular the isoperimetric profile of group algebras and its relation with the isoperimetric profile of the underlying group.

Unless otherwise stated, we consider finitely generated algebras over a field of characteristic zero.

The isoperimetric profile is an asymptotically weakly sublinear function, and it's linear if and only if the algebra is nonamenable (in the sense of Elek [3]). In this sense it can be viewed as a measure of the amenability of an algebra.

We start by studying the isoperimetric profiles of some related algebras. The main results can be stated as follows in the case of finitely generated algebras:

Theorem 0.0.1. *The isoperimetric profile of a finitely generated algebra A is asymptotically equivalent to the isoperimetric profile of a (right) localization of A with respect to a right Ore subset of regular elements.*

Remark. We will give later in the paper a precise definition on what we mean by asymptotical equivalence. Moreover, in the previous theorem, considering localizations, we may get an algebra that is not finitely generated. Indeed we will see that in these cases it will make sense to talk about the isoperimetric profile of these algebras, and the statements will turn out to be precise.

Theorem 0.0.2. *If the associated graded algebra $gr(A)$ of a filtered finitely generated algebra A is a finitely generated domain, then the isoperimetric profile of A is asymptotically (weakly) faster than the isoperimetric profile of $gr(A)$.*

The following theorem generalizes some of the results in [4] about division algebras. We state it here in the case of finitely generated algebras.

Theorem 0.0.3. *If $B \subset A$ are finitely generated domains and B is right Ore, then the isoperimetric profile of B is asymptotically (weakly) slower than the isoperimetric profile of A .*

Given an amenable domain, it's not true that a subdomain must be amenable. In fact it's well known that the Weyl algebra A_1 is amenable, since it has finite GK-dimension, hence by [4] (or even by Theorem 0.0.1) its quotient division algebra D_1 is still amenable. But it's also known (see [17]) that D_1 contains a subalgebra isomorphic to a free algebra of rank 2, which is known to be nonamenable. One of the main result of the paper is that this is the only case that can occur:

Theorem 0.0.4. *If A is an amenable domain which does not contain a subalgebra isomorphic to a noncommutative free algebra, then all the subdomains of A are amenable.*

We computed the isoperimetric profile of various algebras:

Theorem 0.0.5. *The isoperimetric profile of the following algebras is of the form $n^{\frac{d-1}{d}}$ where d is the GK-dimension of the algebra:*

- finitely generated algebras of GK-dimension 1,
- finitely generated commutative domains,
- finitely generated prime PI algebras,
- universal enveloping algebras of finite dimensional Lie algebras,

- Weyl algebras,
- quantum skew polynomial algebras,
- quantum matrix algebras,
- quantum groups $GL_{q,p_{ij}}(d)$,
- quantum Weyl algebras,
- quantum groups $\mathcal{U}(\mathfrak{sl}_2)$ and $\mathcal{U}'(\mathfrak{sl}_2)$.

Notice that not all algebras have an isoperimetric profile of this form. In section 3.1 there is an example which is due to Jason Bell of an algebra of GK -dimension 2 but with constant isoperimetric profile.

We will also study the relation of the isoperimetric profile with other invariants for algebras. In particular we will discuss the relation between the isoperimetric profile and the lower transcendence degree introduced by Zhang in [23]. This is a non negative real number (or infinity) associated to an algebra A (we will give the definition later), denoted by $\text{Ld}A$, with the property that

$$\text{Ld}A \leq \text{Tdeg}A \leq GK \dim A,$$

where $\text{Tdeg}A$ denotes the GK -transcendence degree of A (see [22] for the definition). In section 4.2 we show that the isoperimetric profile is a finer invariant than the lower transcendence degree, and we use it to answer a question in [23]:

Proposition 0.0.6. *The group algebra $K\Gamma$ of an ordered semigroup Γ is Ld -stable, i.e. $\text{Ld}K\Gamma = GK \dim K\Gamma$.*

This connection allows us also to provide new examples of amenable domains and division algebras with infinite GK -transcendence degree (cf. [4]).

In the last section of the paper we answer a question by Gromov in [10] Section 1.9. The paper is divided into four sections which are organized as follows:

- In the first section we provide definitions and basic properties of the isoperimetric profile, particularly its connection with the notion of amenability.
- In the second section we study the behavior of the isoperimetric profile under various ring-theoretic constructions. We will consider subalgebras, homomorphic images, localizations, modules over subalgebras, tensor products, filtered and associated graded algebras, Ore extensions. We will also consider briefly the isoperimetric profile of modules.
- In the third section we compute the isoperimetric profile of many algebras, providing a proof of Theorem 0.0.5.
- In the fourth section we discuss the relation of the isoperimetric profile with other invariants for algebras. In particular we study its relation with the lower transcendence degree introduced by Zhang in [23], and we derive from this some consequences on amenability of algebras. Also, we study its relation with the growth, answering a question in [10] Section 1.9.

1. DEFINITIONS AND BASIC PROPERTIES

In this section we give basic definitions and properties.

1.1. The Isoperimetric Profile. Unless otherwise stated, by an *algebra* A we will mean an infinite dimensional associative algebra with unit 1 over a fixed field K of characteristic 0.

Given two subspaces V and W of an algebra A we will denote the quotient space $V/(V \cap W)$ simply by V/W . Also, given a subset S of A and a subspace V of A we define $SV := \text{span}_K\{sv | s \in S, v \in V\}$.

In this notation, given a subspace V of A and a subset S of A , the *boundary* of V with respect to S is defined by

$$\partial_S(V) := SV/V.$$

We will denote the dimension over K of a subspace V of A by $|V|$. Also, for any finite set S we denote by $|S|$ its cardinality. Hopefully this will not cause any confusion.

We are interested in the dimension of the boundary, hence we can always assume that 1 (the identity of A) is in S , since

$$\partial_{S \cup \{1\}}(V) = (S \cup \{1\})V/V = (SV + V)/V \cong SV/(SV \cap V) = SV/V = \partial_S(V).$$

It's easy to show the following inequality:

$$(\bullet) \quad |\partial_{ST}(V)| \leq |\partial_S(V)| + |S||\partial_T(V)|,$$

where S and T are finite subsets of A . Notice also that if S is a finite subset of A and $V = \text{span}_K S = KS$, then $\partial_S(W) = \partial_V(W)$ for all subspaces W of A . Hence the same inequality is true if we assume S and T to be finite dimensional subspaces.

Definition. We define a *subframe* of an algebra A to be a finite dimensional subspace containing the identity and a *frame* to be a subframe which generates the algebra. (see [23])

Remark. The previous discussion shows that as long as we are interested in the dimension of the boundary $\partial_V(W)$, instead of taking an arbitrary finite dimensional subspace V of an algebra A , we can take a subframe, without losing anything.

Convention. In the rest of the paper by a *subspace* we will always mean *finite dimensional subspace*, unless otherwise specified.

Given a subframe V of A , in the Introduction we defined the *isoperimetric profile* of A with respect to V (see [10]) to be the maximal function I_* such that all finite dimensional subspaces $W \subset A$ satisfy the *isoperimetric inequality*

$$I_*(|W|; A, V) = I_*(|W|) \leq |\partial_V(W)|.$$

Notice that for any $n \in \mathbb{N}$

$$I_*(n; A, V) = I_*(n) = \inf |\partial_V(W)|,$$

where the infimum is taken over all subspaces W of A of dimension n .

We are interested in the asymptotic behavior of the function I_* .

Definition. Given two functions $f_1, f_2 : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ we say that f_1 is *asymptotically faster* than f_2 , and we write $f_1 \succeq f_2$, if there exist positive constants C_1 and C_2 such that $f_1(C_1 x) \geq C_2 f_2(x)$ for all $x \in \mathbb{R}_+$. We say f_1 is *asymptotically equivalent* to f_2 , and we write $f_1 \sim f_2$, if $f_1 \succeq f_2$ and $f_2 \succeq f_1$.

Remark. We can always consider the function $I_*(\cdot)$ as a function on \mathbb{R}_+ , simply defining for $r \in \mathbb{R}_+$, $I_*(r) := I_*(\lfloor r \rfloor)$, where $\lfloor r \rfloor$ denotes the maximal integer $\leq r$. We will often do it, without mentioning it explicitly.

Definition. We say that an algebra A *has an isoperimetric profile* if there exists a subframe V of A such that for any other subframe W of A we have

$$I_*(n; A, W) \preceq I_*(n; A, V).$$

Otherwise we say that A has no isoperimetric profile.

In case A has an isoperimetric profile, we will refer to this function, or its asymptotic behavior, as *the isoperimetric profile of A* , and we'll denote it also by $I_*(A)$. If the subframe V of A is such that $I_*(n; A, V)$ is the isoperimetric profile of A we will say that V *measures* the profile of A .

First of all we want to observe that an arbitrary finitely generated algebra has an isoperimetric profile. The following proposition follows easily from (•).

Proposition 1.1.1. *If V and W are two frames of A , then $I_*(\cdot; A, V) \sim I_*(\cdot; A, W)$.*

Observe that in a finitely generated algebra A , any subframe V is contained in a frame W , and obviously $I_*(n; A, V) \leq I_*(n; A, W)$. This together with the previous proposition shows that A has an isoperimetric profile, and any frame of A measures $I_*(A)$.

We will see later examples of algebras with an isoperimetric profile which are not finitely generated (see Example 2.2.1), and we will give also an example of an algebra which has no isoperimetric profile (see Example 1.3.1).

1.2. Isoperimetric profile and Amenability. In a way, the isoperimetric profile measures the degree of amenability of an algebra.

Definition. We say that an algebra A is *amenable* if for each $\epsilon > 0$ and any subframe V of A , there exists a subframe W of A with $|VW| \leq (1 + \epsilon)|W|$. This is the so called *Følner condition*.

We will see a lot of examples of amenable algebras in the rest of the paper.

Notice that the Følner condition can be restated in the following way using the boundary: for any subspace $V \subset A$ and $\epsilon > 0$ there exists a subspace $W \subset A$ such that $|\partial_V(W)|/|W| \leq \epsilon$. The following proposition follows easily from the definitions.

Proposition 1.2.1. *An algebra A is amenable if and only if $I_*(n; A, V) \not\preceq n$ for any subframe V of A .*

The following corollaries are immediate.

Corollary 1.2.2. *An algebra A is nonamenable if and only if A has isoperimetric profile $I_*(n; A) \sim n$.*

Corollary 1.2.3. *If all the finitely generated subalgebras of an algebra A are amenable, then A is amenable.*

Remark 1. The converse of the previous corollary is not true. For example, we will show later in the paper that the algebra $A = K[x, y] \oplus K\langle w, z \rangle$ is amenable, since we'll prove (see Proposition 2.1.2 and Proposition 1.3.2) that $I_*(A) \preceq I_*(K[x, y]) \sim n^{1/2}$.

But it's known (cf. [2]) that the finitely generated subalgebra $K\langle w, z \rangle$ (a free algebra of rank 2) is not amenable.

1.3. Orderable semigroups and the algebra of polynomials. Let Γ be an infinite semigroup generated by a finite subset S . Let $B(n) := \cup_{i=0}^n S^i$, where $S^0 = \{1\}$ and 1 is the identity element of Γ . Define $\Phi(\lambda) := \min\{n \in \mathbb{N} \mid |B(n)| > \lambda\}$ for $\lambda > 0$. This is the inverse function of the growth of Γ .

The following result is due to Coulhon and Saloff-Coste. Here they use a slightly different definition of the boundary:

$$\delta_S(\Omega) := \{\gamma \in \Omega \mid \text{there exists } s \in S \text{ such that } s\gamma \notin \Omega\},$$

where Ω is a finite subset of Γ .

Theorem 1.3.1 (Coulhon, Saloff-Coste). *Let Γ be an infinite semigroup generated by a finite subset S . For any finite non-empty subset Ω of Γ we have*

$$|\partial_S(\Omega)| \geq \frac{|\delta_S(\Omega)|}{|S|} \geq \frac{|\Omega|}{4|S|^2\Phi(2|\Omega|)}.$$

The first inequality follows from the definitions of the boundaries. For the second one, in [19] there is a short proof for groups: this proof works verbatim for semigroups.

It's easy to see that the free abelian semigroup on $d \in \mathbb{N}$ generators $\mathbb{Z}_{\geq 0}^d$ has isoperimetric profile $I_o(n; \mathbb{Z}_{\geq 0}^d) \sim n^{\frac{d-1}{d}}$. The lower bound is given by Theorem 1.3.1. Considering the hypercubes, we easily get the upper bound.

Now there is a theorem by Gromov (see [10], Section 3) that states that the isoperimetric profile of an orderable semigroup is asymptotically equivalent to the isoperimetric profile of its semigroup algebra. These two together give the following fundamental computation, that we state here as a proposition for our convenience.

Proposition 1.3.2 ([10]). *The isoperimetric profile of the algebra of polynomials $A = K[x_1, \dots, x_d]$ is $I_*(n; A) \sim n^{\frac{d-1}{d}}$.*

We can now give an example of an algebra which has no isoperimetric profile.

Example 1.3.1. Consider the algebra $A = K[x_1, x_2, \dots]$ of polynomials in infinitely many variables. For any $d \in \mathbb{N}$, call $W_d = \text{span}_K\{x_1, \dots, x_d\}$. We can consider the vector space $V_n^{(d)} = \text{span}_K\{x_1^{m_1} \dots x_d^{m_d} \mid m_i \leq n-1 \text{ for all } i\}$. We have $|V_n^{(d)}| = n^d$ and $|\partial_{W_d}(V_n^{(d)})| = dn^{d-1} = d|V_n^{(d)}|^{\frac{d-1}{d}}$, which easily implies the upper bound

$$I_*(n; A, W_d) \preceq n^{\frac{d-1}{d}}.$$

Now A is a free $K[x_1, \dots, x_d]$ -module, hence we can apply Proposition 2.9.1, which we will prove later, to get

$$n^{\frac{d-1}{d}} \sim I_*(n; K[x_1, \dots, x_d], W_d) \preceq I_*(n; A, W_d),$$

giving $I_*(n; A, W_d) \sim n^{\frac{d-1}{d}}$.

Notice that any subspace $W \subset A$ is contained in W_d^m for some d and $m \in \mathbb{N}$. Hence we can apply (\bullet) to see that

$$I_*(n; A, W) \preceq I_*(n; A, W_d) \sim n^{\frac{d-1}{d}}.$$

This shows that A cannot have an isoperimetric profile.

2. RING-THEORETIC CONSTRUCTIONS

In this section we study the behavior of the isoperimetric profile under various ring-theoretic constructions.

2.1. Subalgebras and homomorphic images. In general, the isoperimetric profile for algebras does not decrease when passing to subalgebras or homomorphic images.

Lemma 2.1.1. *If A and B are two algebras, V is a subframe of A and W is a subframe of B , then $I_*(n; A \oplus B, V + W) \leq I_*(n; A, V)$ and $I_*(n; A \oplus B, V + W) \leq I_*(n; B, W)$.*

Proof. We identify A and B with their obvious copies in $A \oplus B$. Let V be a subframe of A , W a subframe of B and let $Z \subset A$ be any subspace. We have

$$|\partial_{V+W}(Z)| = |\partial_V(Z)|,$$

where the second boundary is in the algebra A . This proves the first inequality. The second is proved in the same way. \square

We have the following immediate consequence.

Proposition 2.1.2. *If A and B are two finitely generated algebras, then $I_*(A \oplus B) \preceq I_*(A)$ and $I_*(A \oplus B) \preceq I_*(B)$.*

Observe that A is a subalgebra of $A \oplus B$, and also A is isomorphic to a homomorphic image of $A \oplus B$. If we now consider a direct sum $A \oplus B$ of two finitely generated algebras with $I_*(A) \not\preceq I_*(B)$ (cf. Remark 1), it follows immediately from the previous proposition that we do not have in general inequality for subalgebras and homomorphic images.

From this and what we saw in the previous sections it follows for example that amenability for algebras does not pass to quotients and subalgebras (see also [2]).

We already observed in the Introduction (after Theorem 0.0.3) that this phenomenon can occur also when we deal with domains.

2.2. Localization. The isoperimetric profile behaves well with nice localizations.

If A is an algebra, a *right Ore set* $\Omega \subseteq A$ is a multiplicative closed subset of A which satisfies the *right Ore condition*, i.e. $cA \cap a\Omega \neq \emptyset$ for all $c \in \Omega$ and $a \in A$. If all the elements of Ω are regular, we can consider the ring of right fractions $A\Omega^{-1}$, and identify A with the subset $\{a/1 \mid a \in A\} \subseteq A\Omega^{-1}$.

There are analogous left versions of these notions.

Notice that we will have slightly different results for the left and the right cases in this section. This depends on the fact that the definition of the boundary is not symmetric.

Lemma 2.2.1. *Let A be an algebra and let Ω be a right Ore set of regular elements in (i) and (ii) and a left Ore set of regular elements in (iii).*

(i) *If V is a subframe of A , then*

$$I_*(n; A, V) = I_*(n; A\Omega^{-1}, V).$$

(ii) *If W is a subframe of $A\Omega^{-1}$, then we can find an $m \in \Omega$ such that $Wm \subset A \subset A\Omega^{-1}$. For any such m*

$$I_*(n; A\Omega^{-1}, W) \leq I_*(n; A, Wm + K).$$

- (iii) If W is a subframe of $\Omega^{-1}A$, we can find an $m \in \Omega$ such that $mW \subset A \subset \Omega^{-1}A$. For any such m

$$I_*(n; \Omega^{-1}A, W) \leq I_*(n; A, mW + K).$$

Proof. (i) Let V be a subframe of A . Of course V is also a subframe of $A\Omega^{-1}$. Given any subspace Z of $A\Omega^{-1}$, clearly we can find an element $m \in \Omega$ such that $Zm \subseteq A \subseteq A\Omega^{-1}$. We have

$$|\partial_V(Zm)| = |VZm| - |Zm| = |VZ| - |Z| = |\partial_V(Z)|.$$

Hence

$$I_*(n; A, V) \leq I_*(n; A\Omega^{-1}, V),$$

which implies

$$I_*(n; A, V) = I_*(n; A\Omega^{-1}, V).$$

- (ii) Given now a subframe W of $A\Omega^{-1}$, again we can find an $m \in \Omega$ such that $Wm \subset A \subset A\Omega^{-1}$. If Z is a subspace of A , we have

$$|\partial_W(mZ)| = |WmZ| - |mZ| \leq |WmZ + Z| - |Z| = |\partial_{Wm+K}(Z)|.$$

The above inequality shows that

$$I_*(n; A\Omega^{-1}, W) \leq I_*(n; A, Wm + K).$$

- (iii) Suppose that W is a subframe of $\Omega^{-1}A$. As before we can find an $m \in \Omega$ such that $mW \subset A \subset \Omega^{-1}A$. If Z is a subspace of A , we have

$$|\partial_W(Z)| = |WZ| - |Z| \leq |mWZ + Z| - |Z| = |\partial_{mW+K}(Z)|.$$

The above inequality gives

$$I_*(n; \Omega^{-1}A, W) \leq I_*(n; A, mW + K).$$

□

The following corollary follows easily from this lemma. It's a more general version of Theorem 0.0.1.

Corollary 2.2.2. *Let A be an algebra and let Ω be a right Ore set of regular elements in (i) and a left Ore set of regular elements in (ii). Then*

- (i) *A has an isoperimetric profile if and only if $A\Omega^{-1}$ does, and in this case $I_*(A) \sim I_*(A\Omega^{-1})$. Moreover, any subframe of A that measures $I_*(A)$, measures also $I_*(A\Omega^{-1})$, and viceversa if W measures $I_*(A\Omega^{-1})$, then for any $m \in \Omega$ such that $Wm \subset A$, $Wm + K$ measures $I_*(A)$.*
- (ii) *If both A and $\Omega^{-1}A$ have isoperimetric profiles, then $I_*(\Omega^{-1}A) \preceq I_*(A)$.*

Remark. In [23], the remark after Proposition 2.1 may suggest that $I_*(A) \preceq I_*(\Omega^{-1}A)$ is not true in general.

We can now give an example of an algebra with an isoperimetric profile, which is not finitely generated.

Example 2.2.1. If $A = K[x_1, \dots, x_d]$ is the algebra of polynomials in d variables, then we already saw that $I_*(A) \sim n^{\frac{d-1}{d}}$. If we denote as usual by $K(x_1, \dots, x_d)$ the quotient field of A , using the previous corollary we have

$$I_*(K(x_1, \dots, x_d)) \sim n^{\frac{d-1}{d}}.$$

Notice that $K(x_1, \dots, x_d)$ is not finitely generated as an algebra.

Another immediate consequence of this corollary is for example that $I_*(K[x_1^{\pm 1}, \dots, x_d^{\pm 1}]) \sim n^{\frac{d-1}{d}}$, where $K[x_1^{\pm 1}, \dots, x_d^{\pm 1}]$ is the algebra of Laurent polynomials in d variables (see [10]).

The following consequences on the amenability of a localization follow easily from Lemma 2.2.1 and Proposition 1.2.1.

Corollary 2.2.3. *Let A be an algebra and let Ω be a right Ore set of regular elements in (i) and a left Ore set of regular elements in (ii). Then*

- (i) *A is amenable if and only if $A\Omega^{-1}$ is amenable.*
- (ii) *If A is amenable, then $\Omega^{-1}A$ is amenable.*

2.3. Subadditivity.

Definition. We say that a function $f : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ is (*asymptotically*) *subadditive* if there exist positive constants $C_1, C_2 > 0$ such that for every finite set of positive real numbers r_1, \dots, r_k we have

$$C_2 f(C_1(r_1 + \dots + r_k)) \leq f(r_1) + \dots + f(r_k).$$

Example 2.3.1. The function $f(x) = x^\alpha$ for $0 \leq \alpha \leq 1$ is subadditive with constants $C_1 = C_2 = 1$.

For example the isoperimetric profile of an infinite group is subadditive with constants $C_1 = C_2 = 1$ (cf. [10]).

The following lemma motivates our definition of subadditivity.

Lemma 2.3.1. *Given two functions $f, g : \mathbb{R}_+ \rightarrow \mathbb{R}_+$, if $f \sim g$, then f is subadditive if and only if g is.*

We now show that the isoperimetric profile of a domain is subadditive. We need the following proposition, which was showed to me by Zelmanov.

Proposition 2.3.2 (Zelmanov). *Let A be a domain over K , and let V and W be finite dimensional subspaces of A , with $|V| = m$ and $|W| = n$. If $V \cap Wa \neq \{0\}$ for all $a \in A \setminus \{0\}$, then A is algebraic of bounded degree.*

To prove this proposition we need the following lemma.

Lemma 2.3.3. *In the hypothesis of the previous proposition, let $\{w_1, \dots, w_n\}$ be a basis of W . Then for any nonzero element $a \in A$ there exist polynomials $f_1(t), \dots, f_n(t)$, not all zero and all of degree $\leq m$ such that*

$$w_1 f_1(a) + \dots + w_n f_n(a) = 0.$$

Proof. Given $0 \neq a \in A$, we have $V \cap W1 \neq \{0\}$, $V \cap Wa \neq \{0\}, \dots, V \cap Wa^m \neq \{0\}$. Hence there are coefficients $\alpha_{ij} \in K$ such that

$$\begin{aligned} 0 &\neq \alpha_{01}w_1 + \dots + \alpha_{0n}w_n \in V, \\ 0 &\neq \alpha_{11}w_1a + \dots + \alpha_{1n}w_na \in V, \\ &\vdots \\ 0 &\neq \alpha_{m1}w_1a^m + \dots + \alpha_{mn}w_na^m \in V. \end{aligned}$$

Since $|V| = m$, these elements are linearly dependent, hence there exist β_0, \dots, β_m not all zero such that

$$\begin{aligned} &\beta_0(\alpha_{01}w_1 + \dots + \alpha_{0n}w_n) + \beta_1(\alpha_{11}w_1a + \dots + \alpha_{1n}w_na) + \dots \\ &\dots + \beta_m(\alpha_{m1}w_1a^m + \dots + \alpha_{mn}w_na^m) = 0, \end{aligned}$$

which implies

$$\begin{aligned} &w_1(\beta_0\alpha_{01} + \beta_1\alpha_{11}a + \dots + \beta_m\alpha_{m1}a^m) + w_2(\beta_0\alpha_{02} + \beta_1\alpha_{12}a + \dots + \beta_m\alpha_{m2}a^m) + \dots \\ &\dots + w_n(\beta_0\alpha_{0n} + \beta_1\alpha_{1n}a + \dots + \beta_m\alpha_{mn}a^m) = 0. \end{aligned}$$

We set $f_i(t) := \beta_0\alpha_{0i} + \beta_1\alpha_{1i}t + \dots + \beta_m\alpha_{mi}t^m$ for $i = 1, \dots, n$. If all the f_i 's are zero, then $\beta_i\alpha_{ij} = 0$ for $0 \leq i \leq m$ and $1 \leq j \leq n$. But each row $(\alpha_{i0}, \dots, \alpha_{in})$ is not the zero vector, because $\sum_j \alpha_{ij}w_ja^i \neq 0$. Hence $\beta_i = 0$ for all i , a contradiction. \square

We can now prove the proposition.

Proof. Let $\{w_1, \dots, w_n\}$ be a basis of W . By the lemma, for $0 \leq i \leq m$ we can find polynomials f_{i1}, \dots, f_{in} , not all zero and of degree $\leq m$ such that

$$(*) \quad \sum_j w_j f_{ij} \left(a^{(m+1)^i} \right) = 0.$$

We have

$$\det \left\| f_{ij} \left(a^{(m+1)^i} \right) \right\| = 0.$$

We got in this way a polynomial of degree bounded by a function of m and n only, satisfied by a . If this is not the zero polynomial, we are done.

Suppose this is not the case. Let $f_{ij}(t) := \alpha_{ij0} + \alpha_{ij1}t + \dots + \alpha_{ijm}t^m$, and suppose that

$$\det \left\| f_{ij} \left(t^{(m+1)^i} \right) \right\| = 0.$$

Observe that in each row of the matrix $\left\| f_{ij} \left(t^{(m+1)^i} \right) \right\|$ there are at least two nonzero polynomials. In fact we know that they are not all zero. If only one of them is zero, then the equation $(*)$ gives a zero divisor, which doesn't exist by our assumption. Moreover, we can assume that in each row the entries have no common divisors of the form t^k with $k \geq 1$, since otherwise we can factor it out, preserving the relation $(*)$. Hence in particular in each row there is at least one polynomial with nonzero constant term.

Since these rows are linearly dependent, we can take a minimal linearly dependent set of rows, call r the cardinality of this set and call the indices of these rows j_1, j_2, \dots, j_r . By construction all the minors of order r in these rows are zero. Considering these

minors modulo $t^{(m+1)j_1+1}$ we can replace the coefficients in the first of our rows by their constant terms, still having the first row non zero and depending on the others. Hence we can find polynomials $b(t), c_2(t), \dots, c_r(t)$ such that

$$b(t)\alpha_{j_1 k 0} = \sum_{i=2}^r c_i(t)f_{j_i k} \left(t^{(m+1)j_i} \right)$$

for all $k = 1, \dots, n$. By assumption $b(t) \neq 0$. Observe now that (*) implies

$$\begin{aligned} b(a) \left(\sum_{k=1}^n w_k \alpha_{j_1 k 0} \right) &= \sum_{k=1}^n w_k b(a) \alpha_{j_1 k 0} \\ &= \sum_{k=1}^n w_k \sum_{i=2}^r c_i(a) f_{j_i k} \left(a^{(m+1)j_i} \right) \\ &= \sum_{i=2}^r c_i(a) \left(\sum_{k=1}^n w_k f_{j_i k} \left(a^{(m+1)j_i} \right) \right) = 0. \end{aligned}$$

Since $\sum_{k=1}^n w_k \alpha_{j_1 k 0} \neq 0$, we must have $b(a) = 0$. It's now clear that $b(t)$ also has degree bounded by a function of m and n only. This completes the proof. \square

The following lemma is crucial.

Lemma 2.3.4. *If A is an (infinite dimensional) division algebra, then given two finite dimensional subspaces V and $W \subset A$ there exists a nonzero element $a \in A$ such that $V \cap Wa = \{0\}$.*

Proof. Suppose the contrary. Then by the previous proposition we know that A is algebraic of bounded degree. Hence by a theorem of Jacobson (see [11]) A is locally finite, i.e. any finitely generated subalgebra of A is finite dimensional. But for any nonzero $a \in A$ we have $v = wa$ for some nonzero $v \in V$ and some nonzero $w \in W$, i.e. $a = w^{-1}v$. Hence a is contained in the subalgebra generated by V and W , which is finite dimensional. This gives a contradiction, since A is not finite dimensional. \square

We are now able to prove the main result of this subsection.

Theorem 2.3.5. *If A is a nonamenable domain, then $I_*(A)$ is subadditive. If A is an amenable domain, then $I_*(A, V)$ is subadditive for any subframe V of A .*

Proof. If A is nonamenable, then by Corollary 1.2.2 $I_*(n; A) \sim n$, hence by Lemma 2.3.1 $I_*(A)$ is subadditive.

If A is amenable, then by Proposition 1.2.1 we know that $I_*(A, V) \preceq n$ for any subframe V of A . In this case, we know that A is a right Ore domain, hence it admits a ring of quotients D , which is of course a division algebra. By Lemma 2.2.1, $I_*(n; A, V) = I_*(n; D, V)$, hence again by Lemma 2.3.1 we reduced the problem to show that D has a subadditive isoperimetric profile.

Let $r, s \in \mathbb{N}$, and consider two subspaces $W, Z \subset D$ with $|W| = r$ and $|Z| = s$. By the previous lemma, we can find an element $a \in D$ such that $W \cap Za = \{0\}$. If now V is any subframe of D , we have

$$\begin{aligned} |\partial_V(W \oplus Za)| &= |V(W \oplus Za)| - |W \oplus Za| \leq |VW| + |VZa| - |W| - |Za| \\ &= |VW| + |VZ| - |W| - |Z| = |\partial_V(W)| + |\partial_V(Z)|, \end{aligned}$$

which gives the subadditivity of $I_*(n; D, V)$. \square

Question 1. Is the isoperimetric profile with respect to some subframe of an algebra always subadditive?

2.4. Free left modules over subalgebras. We now study algebras which are a free left module over some subalgebra.

The proof of the following proposition is a modification of the proof of Theorem 2.4 in [23].

Proposition 2.4.1. *Suppose that $B \subset A$ is a subalgebra and A is a free left B -module. If V is a subframe of B and $I_*(B, V)$ is subadditive, then $I_*(B, V) \preceq I_*(A, V)$.*

Proof. We have $A = \bigoplus_i Ba_i$ where $a_i \in A$. Given any subspace W of A we can find a_1, \dots, a_n such that $W \subset \bigoplus_{i=1}^n Ba_i$. We can choose a basis of W of the form

$$\{w_i^1 a_1 + y_i^1\}_{i=1}^{p_1} \cup \{w_i^2 a_2 + y_i^2\}_{i=1}^{p_2} \cup \dots \cup \{w_i^n a_n + y_i^n\}_{i=1}^{p_n}$$

where $w_i^j \in B$ and $y_i^j \in \bigoplus_{k>j} Ba_k$, such that for each j , $\{w_i^j\}_{i=1}^{p_j}$ are linearly independent. Notice that $\{w_i^j a_j + y_i^j\}_{i=1}^{p_j}$ corresponds to a basis of $(W \cup \bigoplus_{k \geq j} Ba_k) / (W \cup \bigoplus_{k>j} Ba_k)$. Let W'_j denote the subspace generated by $\{w_i^j\}_{i=1}^{p_j}$ and let W_j denote the subspace generated by $\{w_i^j a_j + y_i^j\}_{i=1}^{p_j}$. Then

$$W = W_1 \oplus W_2 \oplus \dots \oplus W_n$$

and hence

$$|W| = \sum_j |W_j| = \sum_j |W'_j|.$$

Let V be a subframe of B . We have

$$VW_1 = \left\{ xa_1 + y \mid x \in VW'_1 \text{ and } y \in \bigoplus_{i=2}^n Ba_i \right\}.$$

Since

$$\sum_{i=2}^n VW_i \subset \bigoplus_{i=2}^n Ba_i \quad \text{and} \quad \left(\bigoplus_{i=2}^n Ba_i \right) \cap Ba_1 = 0,$$

we have

$$\left| \sum_{i=1}^n VW_i \right| \geq |VW'_1| + \left| \sum_{i=2}^n VW_i \right|.$$

By induction on n we have

$$\left| \sum_{i=1}^n VW_i \right| \geq \sum_{i=1}^n |VW'_i|.$$

Using the hypothesis, this implies

$$\begin{aligned}
|\partial_V(W)| &= |VW| - |W| = \left| \sum_{i=1}^n VW_i \right| - \sum_{i=1}^n |W_i| \\
&\geq \sum_{i=1}^n |VW'_i| - \sum_{i=1}^n |W'_i| = \sum_{i=1}^n |\partial_V(W'_i)| \\
&\geq \sum_{i=1}^n I_*(|W'_i|; B, V) \geq C_2 I_*(C_1 \sum_{i=1}^n |W'_i|; B, V) = C_2 I_*(C_1 |W|; B, V),
\end{aligned}$$

where C_1 and C_2 are two positive constants. Therefore

$$I_*(B, V) \preceq I_*(A, V).$$

□

The following corollaries are immediate consequences of the proposition.

Corollary 2.4.2. *Suppose that $B \subset A$ is a subalgebra and A is a free left B -module. If both A and B have isoperimetric profiles, and $I_*(B)$ is subadditive, then $I_*(B) \preceq I_*(A)$.*

Corollary 2.4.3. *Suppose that $B \subset A$ is a subalgebra and A is a free left B -module. If A is amenable and $I_*(B)$ is subadditive, then B is amenable.*

Let's derive another easy consequence from the previous proposition, which generalizes a result in [4].

Proposition 2.4.4. *If B is a nonamenable division subalgebra of A , then A is nonamenable. If B is an amenable division subalgebra of A , then $I_*(B, V) \preceq I_*(A, V)$ for any subframe V of B . In particular, if both A and B have isoperimetric profiles, then $I_*(B) \preceq I_*(A)$.*

Proof. If B is a nonamenable division subalgebra, then A is a free left B -module. By Theorem 2.3.5, $I_*(B, V)$ is subadditive for any subframe V that measures $I_*(n; B) \sim n$, hence by Proposition 2.4.1

$$n \sim I_*(n; B, V) \preceq I_*(n; A, V)$$

for any subframe V of B that measures $I_*(B)$. Hence $I_*(n; A, V) \sim n$, and so A is nonamenable by Corollary 1.2.2.

If B is an amenable division subalgebra, A is again a free left B -module. By Theorem 2.3.5, $I_*(B, V)$ is subadditive for any subframe V , hence the result follows again from Proposition 2.4.1. □

We are now able to prove the following

Theorem 2.4.5. *Let $B \subset A$ be domains. If both B and A are right Ore, then $I_*(B, V) \preceq I_*(A, V)$ for all subframes V of B .*

Proof. If we call S and D the right quotient division algebras of B and A respectively, by Lemma 2.2.1, if V is a subframe of B we have $I_*(n; B, V) = I_*(n; S, V)$ and $I_*(n; A, V) = I_*(n; D, V)$. Since $I_*(S, V)$ is also subadditive, we can apply Proposition 2.4.4 to $S \subset D$ to get $I_*(S, V) \preceq I_*(D, V)$. Now again by Lemma 2.2.1, $I_*(B, V) \preceq I_*(A, V)$. □

The following corollary is a more general form of Theorem 0.0.3.

Corollary 2.4.6. *If $B \subset A$ are domains, B is right Ore and both A and B have isoperimetric profiles, then $I_*(B) \preceq I_*(A)$.*

Proof. If A is nonamenable, $I_*(n; A) \sim n$ and there is nothing to prove. Otherwise, the result follows from the previous theorem. \square

Remark 2. Notice that the hypothesis on B of being right Ore cannot be dropped. For example we already observed in the Introduction that the quotient division algebra of the Weyl algebra A_1 is amenable, but it contains a subalgebra isomorphic to a free algebra in two variables (see [17]). We show now that this is the only case that can occur.

By a theorem of Jategaonkar ([12]), a domain which is not Ore must contain a subalgebra isomorphic to a noncommutative free algebra. This and the previous proposition imply the following corollary which is a more general form of Theorem 0.0.4.

Corollary 2.4.7. *If A is an amenable domain, then for any subdomain B of A we have $I_*(B, V) \preceq I_*(A, V)$ for all subframes V of B if and only if A does not contain a subalgebra isomorphic to a noncommutative free algebra.*

2.5. Finite modules over subalgebras. Suppose that B is a subalgebra of an algebra A . Assume that A is a finite right B -module, i.e. $A = WB$, where W is a subframe of A . We want to compare the isoperimetric profiles of A and B .

The following proposition generalizes some of the results in [4].

Proposition 2.5.1. *Let A be an algebra.*

- (1) *Let B be a subalgebra of A such that A is a finite free right B -module. If B is amenable, then A is also amenable. If both A and B have isoperimetric profiles, then $I_*(A) \preceq I_*(B)$. If moreover B has a subadditive isoperimetric profile and A is also a free left B -module, then $I_*(A) \sim I_*(B)$.*
- (2) *Let B be a division subalgebra of A and let A be a finite right B -module. If B is amenable, then A is also amenable. If both A and B have isoperimetric profiles, then $I_*(A) \sim I_*(B)$.*
- (3) *Let B be a finite dimensional algebra and A an algebra. If A is amenable, then $A \otimes B$ is also amenable. If both A and $A \otimes B$ have isoperimetric profiles, then $I_*(A \otimes B) \preceq I_*(A)$. If moreover A has a subadditive isoperimetric profile, then $I_*(A) \sim I_*(A \otimes B)$.*
- (4) *Let $M_n(A)$ be the algebra of $n \times n$ matrices over A . If A is amenable, then $M_n(A)$ is also amenable. If both A and $M_n(A)$ have isoperimetric profiles, then $I_*(M_n(A)) \preceq I_*(A)$. If moreover A has a subadditive isoperimetric profile, then $I_*(A) \sim I_*(M_n(A))$.*
- (5) *Let G be a finite group and $A * G$ a skew group ring. If A is amenable, then also $A * G$ is amenable. If both A and $A * G$ have isoperimetric profiles, then $I_*(A * G) \preceq I_*(A)$. If moreover A has subadditive isoperimetric profile, then $I_*(A) \sim I_*(A * G)$.*

First we need a lemma.

Lemma 2.5.2. *Let $V, W, Z \subset A$ be subspaces of A . Then*

$$\left| \frac{ZVW}{ZW} \right| \leq |Z| \left| \frac{VW}{W} \right|$$

Proof. Let v_1, \dots, v_m be a basis of V and w_1, \dots, w_n a basis of W . Then the products $v_i w_j$ span VW . Clearly at most $|VW/W| = |\partial_V(W)|$ of these products are not in W . For each of them, multiplying on the left by elements of Z , we get at most $|Z|$ products which do not fall into ZW . This proves the result. \square

The previous proposition follows from the following lemma together with Propositions 2.4.1, 2.5.3 and 2.3.1.

Lemma 2.5.3. *Let B be a subalgebra of an algebra A , let V be a subframe of A and let A be a finite right B -module.*

- (i) *If there exist positive constants C_1 and C_2 such that*

$$C_1 I_*(C_2 n; A, V) \leq I_*(n + r; A, V)$$

for any $n, r \in \mathbb{N}$, then $I_(A, V) \preceq I_*(B, V_1)$ for some subframe V_1 of B .*

- (ii) *If A is also free as right B -module, then $I_*(A, V) \preceq I_*(B, V_1)$ for some subframe V_1 of B .*

Proof. Since A is a finite right B -module, there exists a subframe W of A such that $A = WB$. It's clear that given the subframe V of A there exists a subframe V_1 of B such that $VW \subseteq WV_1$. For any subspace Z of B , using the previous lemma, we get

$$\begin{aligned} I_*(|WZ|; A, V) &\leq |\partial_V(WZ)| = \left| \frac{VWZ}{WZ} \right| \leq \left| \frac{WV_1Z}{WZ} \right| \\ &\leq |W| \left| \frac{V_1Z}{Z} \right| = |W| |\partial_{V_1}(Z)|. \end{aligned}$$

Now the hypothesis in (i) gives

$$C_1 I_*(C_2 |Z|; A, V) \leq I_*(|WZ|; A, V) \leq |W| |\partial_{V_1}(Z)|,$$

which implies $I_*(A, V) \preceq I_*(B, V_1)$.

In (ii), if $A = \oplus_{i=1}^k w_i B$, we choose W to be the span of $\{1 = w_1, w_2, \dots, w_k\}$. Then

$$I_*(|W||Z|; A, V) = I_*(|WZ|; A, V) \leq |W| |\partial_{V_1}(Z)|,$$

which again gives $I_*(A, V) \preceq I_*(B, V_1)$. \square

Remark. Notice that the hypothesis in (i) of this lemma is a generalization of the property of being weakly monotone increasing. All the isoperimetric profiles we know satisfy this property.

Question 2. Is it true that $I_*(A)$ satisfies the property in (i) for any algebra A ? Is it true if A is a domain?

We are now able to prove the following corollary (cf. [23], Corollary 3.3).

Corollary 2.5.4. *Let $B \subset A$ be prime right Goldie algebras with isoperimetric profiles, and suppose that $I_*(B)$ is subadditive. Then $I_*(B) \preceq I_*(A)$. If moreover A is a finite right B -module and B is artinian, then $I_*(A) \sim I_*(B)$.*

Proof. By Goldie's Theorem, A has a right quotient ring which is a simple artinian algebra. Hence by Corollary 2.2.2 we may assume that A is a simple artinian ring $M_n(A')$ for some division algebra A' . By Proposition 3.1.16 in [16], the quotient ring Q of B embeds into $M_k(A')$ for some $k \leq n$. Therefore by Corollary 2.2.2 and Proposition 2.5.1, (4), we may assume that B is a division algebra. Whence the first statement follows from Proposition 2.4.4.

If B is artinian and A is finite as B -module, then A is artinian. Therefore the second statement follows from Lemma 2.5.3 and Proposition 2.5.1, (4). \square

2.6. Tensor products. In this section we study the behavior of the isoperimetric profile with respect to tensor products.

Proposition 2.6.1. *Let A and B be two K -algebras, and let V_A and V_B be two subframes of A and B respectively. If $V := V_A \otimes 1 + 1 \otimes V_B$, then*

$$I_*(nm; A \otimes_K B, V) \leq mI_*(n; A, V_A) + nI_*(m; B, V_B).$$

Proof. Given any two subspaces $W \subset A$ and $Z \subset B$, we have

$$\begin{aligned} I_*(|W||Z|; A \otimes_K B, V) &\leq |\partial_V(W \otimes Z)| = \left| \frac{V_A W \otimes Z + W \otimes V_B Z}{W \otimes Z} \right| \\ &\leq \left| \frac{V_A W \otimes Z}{W \otimes Z} \right| + \left| \frac{W \otimes V_B Z}{W \otimes Z} \right| \\ &= |Z||\partial_{V_A}(W)| + |W||\partial_{V_B}(Z)|, \end{aligned}$$

which gives the result. \square

Corollary 2.6.2. *Let A and B be two K -algebras, let V_A and V_B be two subframes of A and B respectively, and let $V := V_A \otimes 1 + 1 \otimes V_B$. If $I_*(n; A, V_A) \preceq n^{1-1/r}$ and $I_*(n; B, V_B) \preceq n^{1-1/s}$ for some real numbers $s \geq r \geq 1$, then*

$$I_*(n; A \otimes_K B, V) \preceq n^{1-\frac{1}{r+s}}.$$

Proof. Given $t \in \mathbb{R}$, $0 < t < 1$ the previous proposition implies

$$\begin{aligned} I_*(n; A \otimes_K B, V) &\preceq n^t I_*(n^{1-t}; A, V_A) + n^{1-t} I_*(n^t; B, V_B) \\ &\preceq n^{t+(1-t)(1-1/r)} + n^{t+(1-t)(1-1/s)}. \end{aligned}$$

Substituting $t = r/(r+s)$ we get

$$I_*(n; A \otimes_K B, V) \preceq n^{\frac{r}{r+s} + \frac{s}{r+s} \frac{r-1}{r}} + n^{\frac{r}{r+s} + \frac{s}{r+s} \frac{s-1}{s}} \preceq n^{\frac{r+s-1}{r+s}},$$

since $s \geq r$, hence both the exponents in the sum above are less or equal then the exponent $(r+s-1)/(r+s)$. \square

We have also the following immediate consequence of Proposition 2.4.1.

Proposition 2.6.3. *If A and B are two K -algebras, V is a subframe of A and $I_*(A, V)$ is subadditive, then*

$$I_*(A, V) \preceq I_*(A \otimes_K B, V \otimes 1).$$

The relation given in Proposition 2.6.1 looks a bit strange. A more natural relation holds for Følner functions, as we will see later in the paper.

2.7. Filtered and Graded Algebras. In this section we consider a filtration on A , i.e. a sequence of subspaces A_i of A

$$A_0 \subset A_1 \subset A_2 \subset \cdots \subset A, \quad \bigcup_{n=0}^{\infty} A_n = A,$$

with the property that $A_i A_j \subset A_{i+j}$ for all $i, j \geq 0$. We assume also that $A_0 = K$ and that A_1 generates A .

Given a filtered algebra, we can consider its associated graded algebra

$$gr(A) := \bigoplus_{i \geq 0} A_i / A_{i-1},$$

where we agree that $A_{-1} = \{0\}$. This is an algebra with the multiplication derived by the rule

$$[x + A_{i-1}] \cdot [y + A_{j-1}] = [xy + A_{i+j-1}].$$

For any subframe $V \subset A_1$, we can view V also as a subframe of $gr(A)$ via the identification $V \equiv (V \cap A_0) / A_{-1} \oplus V / A_0 = K \oplus V / K$.

The following theorem is a more general form of Theorem 0.0.2.

Theorem 2.7.1. *If A is an algebra with a filtration given as above, and $gr(A)$ is a domain, then $I_*(gr(A), V) \preceq I_*(A, V)$ for any subframe $V \subset A_1$.*

Proof. Given a subspace W of A we define $W_i = W \cap A_i$ and $gr(W) = \bigoplus_{i \geq 0} W_i / W_{i-1}$. Observe that $gr(W)$ is a finite dimensional subspace of $gr(A)$.

The first remark is that $|W| = |gr(W)|$: this can be seen looking at a basis for W_i and completing it to a basis of W_{i+1} (if $W_i \neq W_{i+1}$, otherwise look at the next index) for each i . These basis elements clearly give a basis for $gr(W)$.

Now we want to compare $|\partial_V(W)|$ and $|\partial_V(gr(W))|$. The remark we need is that for any finite dimensional subspace W of A , and any element $a \in A_1$ we have

$$|a gr(W)| = |gr(aW)|,$$

where $a gr(W)$ is a short notation for $[a + A_0]gr(W)$.

We have

$$a gr(W) = a \bigoplus_{i \geq 0} W_i / W_{i-1} = \bigoplus_{i \geq 0} \frac{aW_i}{aW_i \cap A_i},$$

and

$$gr(aW) = \bigoplus_{i \geq 0} \frac{aW \cap A_i}{aW \cap A_{i-1}},$$

hence we want to show that

$$\frac{aW \cap A_{i+1}}{aW \cap A_i} = \frac{aW_i}{aW_i \cap A_i}.$$

Clearly $aW_i = a(W \cap A_i) \subseteq aW \cap A_{i+1}$. If the other inclusion is false, then there exists $x \in W \setminus A_i$ with $ax \in A_{i+1}$. So $x \in A_{i+p} \setminus A_i$ for some $p \geq 1$, with $ax \in A_{i+1}$. This gives a zero divisor in $gr(A)$, which is a contradiction. Hence $aW_i = aW \cap A_{i+1}$.

Similarly $aW_i \cap A_i = aW \cap A_i$. In fact, it's obvious that $aW_i \cap A_i \subseteq aW \cap A_i$. If the other inclusion is false then there exists $x \in W \setminus A_i$ with $ax \in A_i$. So $x \in A_{i+p} \setminus A_i$

for some $p \geq 1$, with $ax \in A_i$. Again, this gives a zero divisor in $gr(A)$. Hence $aW_i \cap A_i = aW \cap A_i$.

This proves the equality we wanted, giving $|gr(aW)| = |a gr(W)|$.

Let's now choose a basis $1 = a_1, a_2, \dots, a_r$ of V . We have

$$\begin{aligned}
|\partial_V(gr(W))| &= \left| \frac{V gr(W)}{gr(W)} \right| = \left| \sum_j a_j gr(W) \right| - |gr(W)| = \\
&= \left| \bigoplus_{i \geq 0} \sum_j \frac{a_j(W \cap A_i)}{a_j(W \cap A_i) \cap A_i} \right| - |gr(W)| = \\
&= \sum_i \left| \sum_j \frac{a_j(W \cap A_i)}{a_j(W \cap A_i) \cap A_i} \right| - |gr(W)| = \sum_i \left| \sum_j \frac{a_j W \cap A_{i+1}}{a_j W \cap A_i} \right| - |gr(W)| = \\
&= \sum_i \left| \sum_j \frac{a_j W \cap A_{i+1}}{(\sum_j a_j) W \cap A_i} \right| - |gr(W)| \leq \sum_i \left| \frac{(\sum_j a_j) W \cap A_{i+1}}{(\sum_j a_j) W \cap A_i} \right| - |gr(W)| = \\
&= \left| \bigoplus_i \frac{(\sum_j a_j) W \cap A_{i+1}}{(\sum_j a_j) W \cap A_i} \right| - |gr(W)| = |gr(VW)| - |gr(W)| = |VW| - |W| = \\
&= |\partial_V(W)|.
\end{aligned}$$

This gives $I_*(gr(A), V) \preceq I_*(A, V)$. □

In [23] (see also [22]) Zhang considers a more general setting.

Definition ([23]). Let A and B two K -algebras and let ν be a map from A to B . We call ν a *valuation* from A to B if the following conditions hold:

- (v1) $\nu(ta) = t\nu(a)$ for all $a \in A$ and $t \in K$;
- (v2) $\nu(a) \neq 0$ for all nonzero $a \in A$;
- (v3) for any $a, b \in A$, either $\nu(a)\nu(b) = \nu(ab)$ or $\nu(a)\nu(b) = 0$;
- (v4) for any subspace W of A $|\nu(W)| = |W|$.

The main example of a valuation is the leading-term map of a Γ -filtered algebra, where Γ is any ordered semigroup. Let A be an algebra with a filtration $\{A_\gamma \mid \gamma \in \Gamma\}$ of A , which satisfies the following conditions:

- (f0) $K \subset A_e$ where e is the unit of Γ ;
- (f1) $A_\alpha \subset A_\beta$ for all $\alpha < \beta$ in Γ ;
- (f2) $A_\alpha A_\beta \subset A_{\alpha\beta}$ for all $\alpha, \beta \in \Gamma$;
- (f3) $A = \cup_{\gamma \in \Gamma} (A_\gamma - A_{<\gamma})$, where $A_{<\gamma} = \cup_{\alpha < \gamma} A_\alpha$;
- (f4) $1 \in A_e - A_{<e}$ (and hence $K \subset A_e - A_{<e}$).

Then we define the associated graded algebra to be $gr(A) := \oplus_{\gamma \in \Gamma} A_\gamma / A_{<\gamma}$ with the multiplication determined by $(a + A_{<\alpha})(b + A_{<\beta}) = ab + A_{<\alpha\beta}$. Notice that this is the definition we gave before with $\Gamma = \mathbb{N}$.

We define a map $\nu : A \rightarrow gr(A)$ by $\nu(a) = a + A_{<\gamma}$ for all $a \in A_\gamma - A_{<\gamma}$. This ν is called the *leading-term map* of A and it is easy to see that it satisfies (v1,2,3,4) (see [22], Section 6). If also

(f5) $gr(A)$ is a Γ -graded domain,

then $\nu(a)\nu(b) = 0$ will not happen in (v3).

Theorem 2.7.2 (compare to [23], Theorem 4.3). *If A and B are two K -algebras, and ν is a valuation from A to B , then*

$$I_*(B, \nu(V)) \preceq I_*(A, V).$$

Proof. If $W \subset A$, using Lemma 4.1, (3) in [23], we have

$$\begin{aligned} |\partial_V(W)| &= |VW| - |W| = |\nu(VW)| - |\nu(W)| \\ &\geq |\nu(V)\nu(W)| - |\nu(W)| = |\partial_{\nu(V)}(\nu(W))|, \end{aligned}$$

which gives $I_*(A, V) \succeq I_*(B, \nu(V))$, as we wanted. \square

If Γ is an ordered semigroup, B is a Γ -filtered graded K -algebra with the associated graded algebra $gr(B)$ and A is a K -algebra, then $A \otimes_K B$ is Γ -filtered, and its associated graded is isomorphic to $A \otimes_K gr(B)$. Here is another immediate consequence of Theorem 2.7.2:

Corollary 2.7.3. *If Γ is an ordered semigroup, A and B are two finitely generated K -algebras and B is Γ -filtered, then*

$$I_*(A \otimes_K gr(B)) \preceq I_*(A \otimes_K B).$$

2.8. Ore extensions. In this section we study how the isoperimetric profile behaves in Ore extensions. For the definition of an Ore extension we refer to [15].

Proposition 2.8.1. *Let A be an algebra, σ an automorphism of A and δ a σ -derivation. If $I_*(A, V)$ is subadditive for some subframe $V \subset A$, then we have*

$$I_*(A, V) \preceq I_*(A[x, \sigma, \delta], V + Vx).$$

Proof. There is a natural filtration of $A[x, \sigma, \delta]$ determined by the degree of x , such that the associated graded algebra is isomorphic to $A[x, \sigma]$. Hence there is a valuation ν from $A[x, \sigma, \delta]$ to $A[x, \sigma]$, which by Theorem 2.7.2 gives

$$I_*(A[x, \sigma, \delta], W) \succeq I_*(A[x, \sigma], W),$$

for any graded subframe $W = \bigoplus_{i=0}^m W_i x^i$.

Hence it's enough to show that $I_*(A[x, \sigma], V + Vx) \succeq I_*(A, V)$, where V is a subframe of A . First observe that the leading-term map of $A[x, \sigma]$ is a valuation from $A[x, \sigma]$ to itself. Again by Theorem 2.7.2 it follows that it's enough to consider only the graded subspaces of $A[x, \sigma]$.

Let V be a subframe of A . Given a graded subspace $Z \subset A[x, \sigma]$, we have $Z = \bigoplus_{i=0}^n Z_i x^i$, where $Z_i \subset A$ for all i . Since $ax = x\sigma(a)$ for all $a \in A$, we get

$$\begin{aligned}
|\partial_{V+Vx}(Z)| &= \left| \sum_{i=0}^n VZ_i x^i + VxZ_i x^i \right| - |Z| \\
&= \left| \sum_{i=0}^{n+1} (VZ_i + VZ_{i-1}^{\sigma^{-1}}) x^i \right| - \sum_{i=1}^n |Z_i| \\
&= \sum_{i=0}^{n+1} |VZ_i + VZ_{i-1}^{\sigma^{-1}}| - \sum_{i=1}^n |Z_i| \\
&\geq \sum_{i=0}^n |VZ_i| - \sum_{i=1}^n |Z_i| = \sum_{i=0}^n |\partial_V(Z_i)| \\
&\geq \sum_{i=1}^n I_*(|Z_i|; A, V) \geq C_2 I_*(C_1 \left(\sum_{i=1}^n |Z_i| \right); A, V) = C_2 I_*(C_1 |Z|; A, V),
\end{aligned}$$

where by convention $Z_{-1} = Z_{n+1} = \{0\}$, and C_1 and C_2 are the two positive constants coming from the subadditivity assumption. This shows that

$$I_*(A[x, \sigma], V + Vx) \succeq I_*(A, V),$$

completing the proof. \square

The following corollary follows from the previous proposition and Theorem 2.3.5.

Corollary 2.8.2. *Let A be a domain, σ an automorphism of A and δ a σ -derivation. If A is amenable, then for any subframe $V \subset A$,*

$$I_*(A, V) \preceq I_*(A[x, \sigma, \delta], V + Vx).$$

If A is nonamenable, then $A[x, \sigma, \delta]$ is nonamenable.

Remark 3. Notice that in the proof of the previous proposition we used the following obvious inequality

$$\sum_{i=0}^{n+1} |VZ_i + VZ_{i-1}^{\sigma^{-1}}| \geq \sum_{i=0}^n |VZ_i|.$$

This inequality doesn't appear to be optimal and it's reasonable to expect a better one.

In this direction, in [23], Theorem 5.2, Zhang essentially proves the following

Proposition 2.8.3. *Let A be an algebra, $V \subset A$ a subframe, σ an automorphism of A and δ a σ -derivation. If $I_*(A, V) \succeq n^{\frac{d-1}{d}}$ for some $d \in \mathbb{R}$, $d \geq 1$, then*

$$I_*(A[x, \sigma, \delta], V + Vx) \succeq n^{\frac{d}{d+1}}.$$

This proposition gives for example a lower bound for the isoperimetric profile of iterated Ore extensions, starting from a finitely generated algebra A with $I_*(A) \succeq n^{\frac{d-1}{d}}$, for some $d \geq 1$.

We have also these two easy corollaries.

Corollary 2.8.4. *Let A be a finitely generated algebra and σ an automorphism of A , such that σ^m is an inner automorphism for some $m \in \mathbb{N}$. Then*

$$I_*(n; A[x, \sigma]) \preceq I_*(n; A \otimes_K K[x]).$$

Proof. If σ^m is the inner automorphism given by the conjugation by the invertible element $u \in A$, then $A[x, \sigma]$ is a finite free module over $A[x^m, \sigma] \cong A[u^{-1}x] \cong A \otimes_K K[x]$. The result now follows from Lemma 2.5.3. \square

There is also an analogous version of this corollary with the algebra of Laurent skew polynomials $A[x, x^{-1}, \sigma]$.

Corollary 2.8.5. *Let A be a finitely generated algebra and σ an automorphism of A , such that σ^m is an inner automorphism for some $m \in \mathbb{N}$. If $I_*(n; A) \sim n^{\frac{d-1}{d}}$ then*

$$I_*(n; A[x, \sigma]) \sim n^{\frac{d}{d+1}}.$$

Proof. It follows from the previous corollary, Corollary 2.6.2 and Proposition 2.8.3. \square

2.9. Modules and ideals. If V is a frame of a K -algebra A and M is a left A -module, then we can define the isoperimetric profile of the A -module M as

$$I_*(n; M, V) := \inf |\partial_V(W)| = \inf |VW/W|$$

where the infimum is taken over all n -dimensional subspaces W of M . As for algebras, the asymptotic behavior of this function does not depend on the generating subspace V , hence we can talk about *the isoperimetric profile of the module M* and we will denote it by $I_*(M)$. We observe some properties of this isoperimetric profile.

Proposition 2.9.1. *Let A be an algebra, $V \subset A$ a subframe of A and $M = {}_A M$ a left A -module.*

- (i) *If $IM = 0$ for some ideal I of A , then $I_*({}_A M, V) \sim I_*(A/I M, \overline{V})$, where \overline{V} is the image of V in A/I .*
- (ii) *If N is an A -submodule of M , then $I_*(M, V) \preceq I_*(N, V)$.*
- (iii) *If M is a left A -module, then $I_*({}_A M, V) \preceq I_*(A, V)$.*

Proof. The first property follows directly from the definitions.

For (ii), given a subspace $W \subset N$, the boundary $\partial_V(W)$ is the same as if we regard W as a subspace of N or of M , hence $I_*(M, V) \preceq I_*(N, V)$.

Now by (ii), $I_*(M, V) \preceq I_*(Am, V)$ for all $m \in M$. Hence we can assume that $M = Am$ for some $m \in M$. By (i) we can also assume that M is faithful. In this case, given a finite dimensional subspace W of A we will have $|Wm| = |W|$. Then clearly $|\partial_V(Wm)| \leq |\partial_V(W)|$. This gives the inequality we wanted. \square

Consider now a frame V of an algebra A , and an infinite dimensional ideal J in A . Now J is a left A -module, hence

$$I_*(J) \preceq I_*(A).$$

But also J is an A -submodule of A , hence $I_*(A) \preceq I_*(J)$. Therefore $I_*(A) \sim I_*(J)$ as A -modules.

Remark 4. Notice that the isoperimetric profile of an ideal J of an algebra A as an A -module is a priori different from the isoperimetric profile of J as a subalgebra of A .

3. COMPUTATIONS OF ISOPERIMETRIC PROFILES OF VARIOUS ALGEBRAS

The aim of this section is to prove Theorem 0.0.5, by computing the isoperimetric profiles of the algebras listed in there.

3.1. Algebras of GK -dimension 1. For finitely generated algebras of GK -dimension 1 the isoperimetric profile is constant.

Proposition 3.1.1. *If A is a finitely generated algebra of GK -dimension 1, then $I_*(A)$ is constant.*

Proof. Let A be a finitely generated algebra of GK -dimension 1. G. Bergman proved (see [15], Theorem 2.5) that for an algebra to have GK -dimension 1 is equivalent to have *linear growth*, i.e. if V is a frame for A , then for all $n \in \mathbb{N}$

$$|V^{n+1}| - |V^n| \leq C,$$

where C is a positive constant. This inequality can also be written as

$$|\partial_V(V^n)| \leq C.$$

Since the growth is linear, this proves that the isoperimetric profile $I_*(A)$ is constant. \square

Remark 5. The converse of this proposition is not true.

A cheap example is given by the algebra

$$A = K[x] \oplus K[y, z].$$

We know by Proposition 2.1.2 that $I_*(A) \preceq I_*(K[x])$, and we know by Proposition 1.3.2 that $I_*(K[x])$ is constant. However, $GK \dim A = 2$.

There is a more interesting example (cf. [5], Example 4). Consider the algebra $A = K\langle x, y \rangle / J$, where J is the ideal generated by all monomials in x and y containing at least 2 y 's. Clearly $V = K + Kx + Ky$ is a frame of the infinite dimensional algebra A . Observe that the numbers $a_n := |V^n|$ satisfy the relation $a_n = a_{n-1} + n$, with initial conditions $a_1 = 3$ and $a_2 = 5$. Hence A has quadratic growth, and $GK \dim A = 2$. On the other hand, if we put $W_n := \text{span}_K\{y, xy, x^2y, \dots, x^{n-1}y\}$, we have $|W_n| = n$, and

$$|\partial_V(W_n)| = 1$$

for all $n \in \mathbb{N}$. This shows that $I_*(A)$ is constant.

Notice that both of these examples are not domains.

Question 3. Is it true that if a prime noetherian algebra has constant isoperimetric profile, then it has GK -dimension 1?

Notice that the noetherianity assumption can't be dropped: the following example is due to Jason Bell.

Example 3.1.1 (J. Bell). Consider the algebra A over K with generators x and y and relations $x^2, xy^m x$ for m not a power of 2, and for each $r \geq 2$, $xy^{2^{m_1}} xy^{2^{m_2}} x \cdots xy^{2^{m_r}} x$ whenever $\sum_{i=1}^r m_i < r2^r$. This ring has $GK \dim 2$ and is prime. Let $V = K + Kx + Ky$, and for $k \geq m + 1$ let $W_k = \text{span}_K\{y^i x : 2^k + 1 \leq i < 2^{k+1}\}$. Then $xW_k = (0)$ and $yW_k + W_k = W_k + Ky^{2^{k+1}} x$. Hence $|VW_k/W_k| = 1$ and $|W_k| = 2^k$. This easily implies that the isoperimetric profile of A is constant.

3.2. Commutative Domains. We compute the isoperimetric profile of finitely generated commutative domains.

Proposition 3.2.1. *Let A be a finitely generated commutative domain over K , and let $d = GK \dim A$. Then $I_*(n; A) \sim n^{\frac{d-1}{d}}$.*

Proof. By the Noether's normalization theorem the ring A is a finitely generated module over a subring B isomorphic to $K[x_1, \dots, x_d]$.

Theorem 2.4.5 implies that

$$n^{\frac{d-1}{d}} \sim I_*(B) \preceq I_*(A).$$

Considering now the quotient fields $Q \subset S$ of B and A respectively, we have that S is a finite dimensional vector space over Q , hence using Lemma 2.5.3 and Corollary 2.2.2 we have

$$I_*(A) \preceq I_*(B),$$

which gives the result. \square

3.3. PI algebras. We compute the isoperimetric profile of finitely generated prime PI algebras.

Proposition 3.3.1. *If A is a finitely generated prime PI algebra, then $I_*(A) \sim n^{\frac{d-1}{d}}$, where $d = GK \dim A$.*

Proof. A theorem of Berele says that a finitely generated PI algebra has finite GK-dimension (see [15], 10.7).

Suppose that A is a finitely generated prime PI algebra, and consider its quotient algebra Q , which is known to be a full matrix algebra over a division algebra D , which is a finite module over its center F . Clearly $d = GK \dim F$, hence the result follows from Proposition 2.5.1 (2). \square

We have also the following

Corollary 3.3.2. *If A is a finitely generated semiprime PI algebra, then $I_*(A) \preceq n^{\frac{d-1}{d}}$, where $d = GK \dim A$.*

Proof. The proof of this corollary goes like the one of the previous proposition. In this case Q is a direct sum of full matrix algebras over division algebras, which are finitely generated over their centers. Hence the same argument we used before together with Proposition 2.1.2 and well known properties of the GK-dimension gives the result. \square

Notice that in the semiprime case we have a direct sum of subalgebras, hence Proposition 2.1.2 shows that in general we don't have the equivalence.

3.4. Universal enveloping algebras. We compute the isoperimetric profile of universal enveloping algebras of finite dimensional Lie algebras.

Proposition 3.4.1. *The isoperimetric profile of the universal enveloping algebra $\mathcal{U}(\mathfrak{g})$ of a finite dimensional Lie algebra \mathfrak{g} is $I_*(n; \mathcal{U}(\mathfrak{g})) \sim n^{\frac{d-1}{d}}$, where $d = \dim \mathfrak{g}$.*

Proof. Theorem 2.7.1 applies to the universal enveloping algebra $\mathcal{U}(\mathfrak{g})$ of a finite dimensional Lie algebra \mathfrak{g} . Since $gr(\mathcal{U}(\mathfrak{g}))$ (with respect to the natural filtration) is isomorphic to the algebra of polynomials in $d = \dim \mathfrak{g}$ variables, we have the lower bound

$$I_*(n; \mathcal{U}(\mathfrak{g})) \succeq I_*(n; gr(\mathcal{U}(\mathfrak{g}))) \sim n^{\frac{d-1}{d}}.$$

Now consider a basis e_1, e_2, \dots, e_d of \mathfrak{g} , fix the order $e_1 < e_2 < \dots < e_d$ and consider the lexicographical order on the monomials in the e_i 's in $\mathcal{U}(\mathfrak{g})$. For any $n \in \mathbb{N}$ consider the subspace $V_n = span_K\{e_1^{m_1}e_2^{m_2}\dots e_d^{m_d} \mid \text{for all } i \ 0 \leq m_i \leq n-1\}$. If we call $\mathcal{U}_1 = span_K\{1, e_1, \dots, e_d\}$, it follows from the definition of $\mathcal{U}(\mathfrak{g})$ and the PBW theorem that a basis of the boundary $\partial_{\mathcal{U}_1}(V_n)$ is given by the classes of the monomials $e_1^{k_1}e_2^{k_2}\dots e_d^{k_d}$ such that exactly one of the k_i 's is equal to n and all the other are smaller than n . Now $|V_n| = n^d$ and $|\partial_{\mathcal{U}_1}(V_n)| = dn^{d-1} = d|V_n|^{\frac{d-1}{d}}$. From this follows easily the upper bound we needed. \square

We want to derive also some consequences in the infinite dimensional case.

Proposition 3.4.2. *If $A = \mathcal{U}(\mathfrak{g})$ is the universal enveloping algebra of an infinite dimensional Lie algebra \mathfrak{g} , then for any $0 < \alpha < 1$ there exists a subframe $V \subset \mathcal{U}_1$ such that*

$$I_*(n; \mathcal{U}(\mathfrak{g}), V) \not\preceq n^\alpha.$$

Proof. A basis of \mathcal{U}_1 is given by a basis of \mathfrak{g} and 1. Now $gr(\mathcal{U}(\mathfrak{g}))$ is isomorphic to the polynomial algebra $K[x_1, x_2, \dots]$ on infinitely many variables, where each variable x_i corresponds to a basis element of \mathfrak{g} .

Suppose first that $V = V_d \subset \mathcal{U}_1$, where a basis for V_d is given by the basis elements of \mathcal{U}_1 corresponding to $1, x_1, \dots, x_d$. Then by Theorem 2.7.1

$$I_*(n, gr(A), V) \preceq I_*(n; A, V).$$

But by Proposition 2.4.1, since we can see $gr(A) \cong K[x_1, x_2, \dots]$ as a free $K[V] \equiv K[x_1, \dots, x_d]$ -module, it follows that $I_*(n, gr(A), V) \succeq I_*(n; K[x_1, \dots, x_d], V) \sim n^{\frac{d-1}{d}}$. It's easy to see by considering the cubes in the x_1, \dots, x_d as usual (and it follows also from Proposition 2.9.1) that $I_*(n, gr(A), V) \preceq n^{\frac{d-1}{d}}$, and hence $I_*(n, gr(A), V) \sim n^{\frac{d-1}{d}}$. From this the result easily follows. \square

This proposition implies for example that for a finitely generated infinite dimensional Lie algebra (e.g. affine Kac-Moody algebras), its universal enveloping algebras has an isoperimetric profile faster than any polynomial in n of degree $\alpha < 1$.

3.5. Weyl algebras. Consider now the Weyl algebra $A_d = A_d(K)$, i.e. the algebra $K\langle x_1, \dots, x_d, y_1, \dots, y_d \rangle$ subject to the relations

$$[x_i, x_j] = 0 = [y_i, y_j] \quad \text{and} \quad [x_i, y_j] = \delta_{i,j},$$

where $\delta_{i,j}$ is the Kronecker symbol. It is well known that A_d is a domain.

Proposition 3.5.1. *The isoperimetric profile of the Weyl algebra A_d is*

$$I_*(n; A_d) \sim n^{\frac{2d-1}{2d}}.$$

Proof. The lower bound $n^{\frac{2d-1}{2d}} \preceq I_*(n; A_d)$ is given by Theorem 2.7.1, since $gr(A_d)$ (with respect to the filtration determined by total degree) is isomorphic to the algebra of polynomials $K[x_1, \dots, x_d, y_1, \dots, y_d]$.

Now for any $n \in \mathbb{N}$ consider the subspace $V_n = \text{span}_K\{x_1^{m_1} \cdots x_d^{m_d} y_1^{m_{d+1}} \cdots y_d^{m_{2d}} \mid \text{for all } i \ 0 \leq m_i \leq n-1\}$. It's easy to see that a basis for A_d is given by the monomials of the form $x_1^{m_1} \cdots x_d^{m_d} y_1^{m_{d+1}} \cdots y_d^{m_{2d}}$. Calling $V = \text{span}_K\{x_1, \dots, x_d, y_1, \dots, y_d\}$, it's clear that a basis for $\partial_V(V_n)$ is given by the classes of the monomials $x_1^{k_1} \cdots x_d^{k_d} y_1^{k_{d+1}} \cdots y_d^{k_{2d}}$ such that exactly one of the k_i 's is equal to n and all the other are smaller than n . Now $|V_n| = n^{2d}$ and $|\partial_V(V_n)| = 2dn^{2d-1} = 2d|V_n|^{\frac{2d-1}{2d}}$. From this it follows easily the upper bound we needed. \square

3.6. Quantized algebras. In this subsection we compute the isoperimetric profile of some quantized algebras related to quantum groups.

We start with quantum skew polynomial algebras. Let $\{p_{ij} \mid 1 \leq i < j \leq d\}$ be a set of nonzero scalars in K . The *quantum skew polynomial algebra* $K_{p_{ij}}[x_1, \dots, x_d]$ is generated by the variables x_1, \dots, x_d subject to the relations $x_j x_i = p_{ij} x_i x_j$ for all $i < j$. The set of ordered monomials $\{x_1^{l_1} \cdots x_d^{l_d} \mid (l_1, \dots, l_d) \in \mathbb{N}^d\}$ is a basis over K of $K_{p_{ij}}[x_1, \dots, x_d]$. In [22], Example 7.1, Zhang gives a valuation from $K_{p_{ij}}[x_1, \dots, x_d]$ to $K[x_1, \dots, x_d]$, hence by Theorem 2.7.2 we have

$$I_*(n; K_{p_{ij}}[x_1, \dots, x_d]) \succeq I_*(n; K[x_1, \dots, x_d]) \sim n^{\frac{d-1}{d}}.$$

Consider now the subspaces $V_n := \text{span}_K\{x_1^{m_1} \cdots x_d^{m_d} \mid \text{for all } i \ 0 \leq m_i \leq n-1\}$ corresponding to the cubes in $\mathbb{Z}_{\geq 0}^d$, and let $V = \text{span}_K\{1, x_1, \dots, x_d\}$. Clearly $|V_n| = n^d$, and from the defining relations it follows that $|\partial_V(V_n)| = dn^{d-1} = d|V_n|^{\frac{d-1}{d}}$ (see the proof of Corollary 3.5.1). From this it follows easily the upper bound

$$I_*(n; K_{p_{ij}}[x_1, \dots, x_d]) \preceq I_*(n; K[x_1, \dots, x_d]) \sim n^{\frac{d-1}{d}},$$

giving

$$I_*(n; K_{p_{ij}}[x_1, \dots, x_d]) \sim n^{\frac{d-1}{d}}.$$

The following definition is in [22], Section 7.

Definition. Consider the lexicographical order on \mathbb{Z}^d with $\deg(e_i) < \deg(e_j)$ for $i < j$, where e_i is the vector with 1 in the i -th position, and 0 elsewhere. An algebra A is called a *filtered skew polynomial algebra in d variables* if there is a set of generators $\{x_1, \dots, x_d\}$ of A such that the following three conditions hold.

- (q1) The set of monomials $\{x_1^{l_1} \cdots x_d^{l_d} \mid (l_1, \dots, l_d) \in \mathbb{N}^d\}$ is a basis over K of A . We define $\deg(x_1^{l_1} \cdots x_d^{l_d}) = (l_1, \dots, l_d)$ and $F_{(l_1, \dots, l_d)}$ to be the set of all linear combinations of monomials of degree $\leq (l_1, \dots, l_d)$.
- (q2) $\{F_{(l_1, \dots, l_d)} \mid (l_1, \dots, l_d) \in \mathbb{N}^d\}$ is a filtration of A .
- (q3) The associated graded algebra $gr(A)$ is isomorphic to a quantum skew polynomial algebra.

For example it's easy to see that the Weyl algebras are filtered skew polynomial algebras.

The following proposition is an immediate consequence of Theorem 2.7.1 and what we have shown before.

Proposition 3.6.1. *If A is a filtered skew polynomial algebra in d variables, then*

$$I_*(n; A) \succeq n^{\frac{d-1}{d}}.$$

Now we want to consider the quantum matrix algebras $M_{q,p_{ij}}(d)$ and the quantum groups $GL_{q,p_{ij}}(d)$. See [1] for details on these algebras.

Given a set of nonzero scalars $\{q\} \cup \{p_{ij} \mid 1 \leq i < j \leq d\}$, the *quantum matrix algebra* $M_{q,p_{ij}}(d)$ is generated by $\{x_{ij} \mid 1 \leq i, j \leq d\}$ subject to the relations (7.4.1) of [22, p. 2885]. It's easy to show (cf. [22], Example 7.4) that $M_{q,p_{ij}}(d)$ is a filtered skew polynomial algebra on d^2 variables, hence by Proposition 3.6.1

$$I_*(n; M_{q,p_{ij}}(d)) \succeq n^{\frac{d^2-1}{d^2}}.$$

To prove the other inequality, for each $n \in \mathbb{N}$ we define the subspace

$V_n := \text{span}_K \{x_{11}^{m_{11}} x_{12}^{m_{12}} \cdots x_{1d}^{m_{1d}} x_{21}^{m_{21}} \cdots x_{2d}^{m_{2d}} \cdots x_{dd}^{m_{dd}} \mid \text{for all } i \text{ and } j \ 0 \leq m_{ij} \leq n-1\}$, and we put $V := K + \text{span}_K \{x_{ij} \mid 1 \leq i, j \leq d\}$. Using the defining relations it's easy to show that $VV_n \subset V_{n+1}$. This would imply that

$$\begin{aligned} |\partial_V(V_n)| &= |VV_n| - |V_n| \leq |V_{n+1}| - |V_n| \\ &= (n+1)^{d^2} - n^{d^2} \sim n^{d^2-1} = |V_n|^{\frac{d^2-1}{d^2}}. \end{aligned}$$

As usual, from this it follows easily the upper bound

$$I_*(n; M_{q,p_{ij}}(d)) \preceq n^{\frac{d^2-1}{d^2}},$$

which gives

$$I_*(n; M_{q,p_{ij}}(d)) \sim n^{\frac{d^2-1}{d^2}}.$$

The *quantum group* $GL_{q,p_{ij}}(d)$ is defined to be the localization $M_{q,p_{ij}}(d)[D^{-1}]$, where D is the quantum determinant of $M_{q,p_{ij}}(d)$, and $M_{q,p_{ij}}(d)[D^{-1}]$ indicates the right localization with respect to the subset $\{D^n \mid n \in \mathbb{N}\}$. Hence by Corollary 2.2.2 we have

$$I_*(n; GL_{q,p_{ij}}(d)) \sim I_*(n; M_{q,p_{ij}}(d)) \sim n^{\frac{d^2-1}{d^2}}.$$

Consider now the quantum Weyl algebra $A_d(q, p_{ij})$ (see [8] for details on this algebras).

Given a set of nonzero scalars $\{q\} \cup \{p_{ij} \mid 1 \leq i < j \leq d\}$, the *quantum Weyl algebra* $A_d(q, p_{ij})$ is generated by $\{x_1, \dots, x_d, y_1, \dots, y_d\}$ subject to the relations given in [22], Example 7.5. It's easy to see (cf. [22], Example 7.5) that defining $\deg(x_i) = d + 1 - i$ and $\deg(y_i) = 2d + 1 - i$, $A_d(q, p_{ij})$ is a filtered skew polynomial algebra in $2d$ variables. Hence by Proposition 3.6.1 we have

$$I_*(n; A_d(q, p_{ij})) \succeq n^{\frac{2d-1}{2d}}.$$

To prove the other inequality, for each $n \in \mathbb{N}$ we define the subspace

$$V_n := \text{span}_K \{x_1^{m_1} \cdots x_d^{m_d} y_1^{n_1} \cdots y_d^{n_d} \mid \text{for all } i \text{ and } j \ 0 \leq m_i, n_j \leq n-1\},$$

and we put $V := K + \text{span}_K \{x_1, \dots, x_d, y_1, \dots, y_d\}$. Again we can show that $VV_n \subset V_{n+1}$, from which it follows easily the upper bound

$$I_*(n; A_d(q, p_{ij})) \preceq n^{\frac{2d-1}{2d}},$$

which gives

$$I_*(n; A_d(q, p_{ij})) \sim n^{\frac{2d-1}{2d}}.$$

Consider now the *quantum group* $\mathcal{U}(\mathfrak{sl}_2)$ (see [13]). This is an algebra isomorphic to an algebra generated by $\{e, f', h\}$ subject to the relations (7.6.2) of [22], pag. 2887.

It's easy to see that it is a filtered skew polynomial algebra in three variables, setting $\deg(h) = (1, 0, 0)$, $\deg(e) = (0, 1, 0)$ and $\deg(f') = (0, 0, 1)$ (cf. [22], Example 7.6). This by Proposition (3.6.1) gives the lower bound

$$I_*(n; \mathcal{U}(\mathfrak{sl}_2)) \succeq n^{\frac{2}{3}}.$$

Now consider for each $n \in \mathbb{N}$ the subspace

$$V_n := \text{span}_K\{h^{m_1}e^{m_2}f'^{m_3} \mid 0 \leq m_1 \leq 2(n-1) \text{ and } 0 \leq m_i \leq n-1 \text{ for } i = 2, 3\},$$

and let $V = \text{span}_K\{1, h, e, f'\}$. We can show that $VV_n \subseteq V_{n+1}$, from which it follows easily the upper bound

$$I_*(n; \mathcal{U}(\mathfrak{sl}_2)) \preceq n^{\frac{2}{3}},$$

which gives

$$I_*(n; \mathcal{U}(\mathfrak{sl}_2)) \sim n^{\frac{2}{3}}.$$

There is also another version of the quantum universal enveloping algebra $\mathcal{U}(\mathfrak{sl}_2)$, say $\mathcal{U}'(\mathfrak{sl}_2)$, which was studied in [14]. Given $q \in K \setminus \{0\}$, the quantum universal enveloping algebra $\mathcal{U}'(\mathfrak{sl}_2)$ is generated by $\{e, f, h\}$ subject to the relations

$$\begin{aligned} qhe - eh &= 2e, \\ hf - qfh &= -2f, \\ ef - qfe &= h + \frac{1-q}{4}h^2. \end{aligned} \tag{1}$$

Defining $\deg(h) = (1, 0, 0)$, $\deg(e) = (0, 1, 0)$ and $\deg(f) = (0, 0, 1)$, $\mathcal{U}'(\mathfrak{sl}_2)$ is a filtered skew polynomial algebra in three variables (cf. [22], Example 7.6). This by Proposition 3.6.1 gives the lower bound

$$I_*(n; \mathcal{U}'(\mathfrak{sl}_2)) \succeq n^{\frac{2}{3}}.$$

For the upper bound we can use the same subspaces V_n (where of course we replace f' with f).

We summarize the computations of this section in the following

Proposition 3.6.2. *With the notations we explained in this subsection,*

- (1) $I_*(n; K_{p_{ij}}[x_1, \dots, x_d]) \sim n^{\frac{d-1}{d}};$
- (2) $I_*(n; M_{q, p_{ij}}(d)) \sim n^{\frac{d^2-1}{d^2}};$
- (3) $I_*(n; GL_{q, p_{ij}}(d)) \sim n^{\frac{d^2-1}{d^2}};$
- (4) $I_*(n; A_d(q, p_{ij})) \sim n^{\frac{2d-1}{2d}};$
- (5) $I_*(n; \mathcal{U}(\mathfrak{sl}_2)) \sim n^{\frac{2}{3}};$
- (6) $I_*(n; \mathcal{U}'(\mathfrak{sl}_2)) \sim n^{\frac{2}{3}}.$

All together the computations we performed in this section give a proof of Theorem 0.0.5.

4. RELATIONS WITH OTHER INVARIANTS

In this section we compare the isoperimetric profile to some other invariants for infinite dimensional algebras.

4.1. I_* and the Følner function. Given an amenable algebra A and a subframe V of A , we define the *Følner function* $F_*(n; A, V)$ with respect to V (cf. [10]) to be the minimal dimension of a subspace W of A such that

$$|\partial_V(W)| \leq \frac{|W|}{n}.$$

Notice that this function is not defined for a nonamenable algebra.

As we did for the isoperimetric profile, we say that an algebra A has Følner function if there exists a subframe V of A such that

$$F_*(A, W) \preceq F_*(A, V)$$

for any subframe W of A . We denote this function and its asymptotic equivalence class by $F_*(A)$, and we say that a subframe V measures $F_*(A)$ if $F_*(A) \sim F_*(A, V)$.

It can be proved in the same way as we did for the isoperimetric profile that a finitely generated algebra A has Følner function, and its asymptotic behavior is measured by any frame V of A .

Notice that if n is in the image of $F_*(A, V)$, then

$$I_*(n) = I_*(|W|) \leq |\partial_V(W)| \leq \frac{|W|}{F_*^{-1}(|W|)}$$

for a suitable subspace W of dimension n . This would suggest the inequality

$$I_*(n) \preceq \frac{n}{F_*^{-1}(n)},$$

where $F_*^{-1}(n) := \sup\{k \mid F_*(k) \leq n\}$.

Question 4. Is this inequality always true? Is it true for domains? Is it true for semigroups?

Of course there is the analogous definition for semigroups: in this case the Følner function is denoted by F_\circ (cf. [10]).

In [10] there are various proofs of the lower bound for the Følner function of $\mathbb{Z}_{\geq 0}^d$, the upper bound being clear considering the cubes:

$$F_\circ(n; \mathbb{Z}_{\geq 0}^d) \sim n^d.$$

Notice that in this particular case $I_\circ(n) \sim n/F_\circ^{-1}(n)$.

Question 5. Are these two functions always equivalent? Is it true for algebras? Is it true for domains?

The equivalence $I_*(n) \sim n/F_*^{-1}(n)$ is correct at least in the case of polynomial algebras. In fact, using the fact that the Følner functions of an orderable semigroup and its semigroup algebra are asymptotically equivalent (see [10], Section 3), we have

$$F_*(n; K[x_1, \dots, x_d]) \sim n^d.$$

Sometimes the Følner function is easier to handle than the isoperimetric profile (see [6]). For example the Følner function of the tensor products has an easier relation with the Følner functions of the factors.

Proposition 4.1.1. *Given A and B two K -algebras, if V_A and V_B are two subframes of A and B respectively, and $V := V_A \otimes 1 + 1 \otimes V_B$, we have*

$$F_*\left(\frac{mn}{m+n}; A \otimes_K B, V\right) \leq F_*(m; A, V_A)F_*(n; B, V_B).$$

Proof. We use the proof of Proposition 2.6.1: we keep the same notation we used there, but this time we choose suitable subspaces $W \subset A$ and $Z \subset B$ for which $|\partial_{V_A}(W)| \leq |W|/m$ and $|\partial_{V_B}(Z)| \leq |Z|/n$. We get

$$\begin{aligned} |\partial_V(W \otimes Z)| &\leq |Z||\partial_{V_A}(W)| + |W||\partial_{V_B}(Z)| \\ &\leq \frac{|W||Z|}{m} + \frac{|W||Z|}{n} = \frac{m+n}{mn}|W||Z|, \end{aligned}$$

which gives the result. \square

Putting $m = n$ in the proposition we get the following

Corollary 4.1.2. *In the same notation of the previous proposition,*

$$F_*(n; A \otimes_K B, V) \preceq F_*(n; A, V_A)F_*(n; B, V_B).$$

4.2. I_* and the lower transcendence degree. In [23] J. J. Zhang introduced the notion of the lower transcendence degree of an algebra.

Definition. If for every subframe $V \subset A$ there is a subspace $W \subset A$ such that

$$|\partial_V(W)| = 0,$$

then we define the *lower transcendence degree* of A to be 0 and we write $\text{Ld}(A) = 0$. Otherwise there is a subframe V such that for every subspace W

$$|\partial_V(W)| \geq 1.$$

In this case the *lower transcendence degree* of A is defined to be

$$\text{Ld}(A) := \sup_V \sup\{d \in \mathbb{R}_{\geq 0} \mid \exists C > 0 : |\partial_V(W)| \geq C|W|^{1-\frac{1}{d}} \text{ for all } W\},$$

where V ranges over all subframes of A . Hence $\text{Ld}(A)$ is a nonnegative real number or infinity.

Observe that in the definition of the lower transcendence degree we can use the inequality $I_*(|W|; A, V) \succeq |W|^{1-\frac{1}{d}}$ instead of $|\partial_V(W)| \geq C|W|^{1-\frac{1}{d}}$. In the case of a finitely generated algebra, since we already showed that the asymptotic behavior of the isoperimetric profile does not depend on the frame, we can drop the first supremum in the definition and we can take simply some fixed frame V .

It's now clear from the definitions that if two algebras A and B satisfy $I_*(A) \sim I_*(B)$, then $\text{Ld}(A) = \text{Ld}(B)$. The converse is not always true:

Remark 6. In general we do not have the inequality

$$(2) \quad n^{1-\frac{1}{\text{Ld}(A)}} \preceq I_*(n).$$

For example in the case $I_*(n) \sim n^\alpha / \log n$ for some $0 < \alpha \leq 1$, we would have

$$n^\beta \not\preceq I_*(n)$$

for any $\beta < \alpha$, but

$$n^\gamma \succeq I_*(n)$$

for any $\gamma \geq \alpha$. For example, $I_*(n) \sim n / \log n$ ($\alpha = 1$) is the isoperimetric profile of the group algebra of a finitely generated polycyclic group of exponential growth (see [18]). Hence $(\text{Ld}(A) - 1) / \text{Ld}(A) = \alpha$ in this case, which shows that the inequality is not true.

From this remark we see that if we have for example two algebras A and B with $I_*(n; A) \sim n / \log n$ and $I_*(n; B) \sim n$ (e.g. the group algebra of a finitely generated polycyclic group of exponential growth and a free algebra of rank two), then clearly $I_*(A) \approx I_*(B)$, but $\text{Ld}(A) = \text{Ld}(B) = \infty$. All this shows that the isoperimetric profile is finer than the lower transcendence degree as an invariant for algebras.

The following proposition follows directly from the definitions

Proposition 4.2.1. *If $d = \text{Ld}(A)$, then $n^{\frac{s-1}{s}} \not\preceq I_*(n; A, V)$ for any $s \not\leq d$ and some particular subframe $V \subset A$. Moreover, $I_*(n; A, W) \not\preceq n^{\frac{t-1}{t}}$ for any $t > d$ and any subframe $W \subset A$.*

In [23], Proposition 1.4, Zhang proves that for any algebra A ,

$$\text{Ld}A \leq \text{Tdeg}A \leq \text{GK dim } A,$$

where $\text{Tdeg}A$ is the Gelfand-Kirillov transcendence degree (see [23] for the definition).

This together with Proposition 4.2.1 implies the following theorem, which generalizes a result in [4].

Theorem 4.2.2. *If all the finitely generated subalgebras of an algebra A have finite lower transcendence degree, then A is amenable.*

An example of a finitely generated amenable division algebra with infinite GK -transcendence degree is given in [4]. Theorem 4.2.2 together with previous results in this paper allows us to provide new examples of this sort.

An easy example is the field $F := K(x_1, x_2, \dots)$ of rational functions in infinitely many variables.

Even more interesting examples come from universal enveloping algebras of infinite dimensional Lie algebras with subexponential growth, for example affine Kac-Moody algebras. In fact by [20] these algebras have subexponential growth, and so they are amenable (see [3]). But from Proposition 3.4.2 it follows that they have infinite lower transcendence degree. Since they are domains, we can consider their quotient division algebras to provide examples of division algebras.

In [23, p. 181], Zhang asked if it is true that for any orderable semigroup Γ the semigroup algebra $K\Gamma$ is Ld -stable, i.e. $\text{Ld}K\Gamma = \text{GK dim } K\Gamma$. We conclude the subsection giving a positive answer:

Proposition 4.2.3. *The group algebra $K\Gamma$ of an ordered semigroup Γ is Ld-stable.*

Proof. By a theorem of Gromov (see [10], Section 3) we know that $I_o(\Gamma, S) \sim I_*(K\Gamma, S)$ for any finite subset $S \subset \Gamma$. Observe that $d := GK \dim K\Gamma$ is the degree of growth of the semigroup Γ , which may be of course infinity. Now by the Coulhon-Saloff-Coste inequality (Theorem 1.3.1) we have

$$I_*(n; \Gamma) \succeq n^{\frac{d-1}{d}},$$

in case d is finite, or

$$I_*(n; \Gamma) \succeq n/\Phi(n),$$

where Φ is the inverse function of the growth of Γ , if d is infinity. In the last case Φ is slower than any positive power of n , hence in both cases

$$\text{Ld}K\Gamma \geq GK \dim K\Gamma.$$

Since the other inequality is always true, this completes the proof. \square

4.3. I_* and the growth. The Weyl algebra A_1 and its quotient division algebra D_1 give an example that shows that the isoperimetric profile is not a finer invariant than the GK -dimension. Another example is in [15], Example 4.10, where the algebra $\mathcal{U}(\mathfrak{g})$ and some its localization have different GK -dimensions, but they have the same isoperimetric profiles.

We may ask for an analogue of the Coulhon-Saloff-Coste inequality (Theorem 1.3.1) for algebras. In Remark 5 we considered the algebra $A = K\langle x, y \rangle / J$, where J is the ideal generated by all monomials in x and y containing at least 2 y 's. We already showed that this algebra has constant isoperimetric profile, but it has GK -dimension 2. This example shows that we don't have in general an analogue for algebras of the Coulhon-Saloff-Coste inequality. A cheaper example of this type is the algebra $K[x] \oplus K\langle y, z \rangle$, which we also considered in the Remark 5. Both these examples are not domains.

An example of a prime algebra is Example 3.1.1. An example of a domain is given by the quotient division algebra D_1 of the Weyl algebra A_1 .

In [10], Section 1.9, Gromov asks if there is a bound on the growth of a domain by its Følner function. Keeping in mind Questions 4 and 5, this bound would correspond to the Coulhon-Saloff-Coste inequality for the isoperimetric profile. The algebra D_1 answers this question in the negative, since in this case clearly the Følner function $F_*(n)$ of D_1 is asymptotically bounded by n^2 , but D_1 grows exponentially. Of course D_1 is not finitely generated.

A finitely generated example is given by the localization $A_1\Omega^{-1}$ of the multiplicative closed subset Ω (of the Weyl algebra A_1) generated by x and y . This is a finitely generated noetherian domain with GK -dimension 3 but with lower transcendence degree 2 (see Example 4.11 in [15] for details).

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